# Associations Between Salivary Gland Histopathologic Diagnoses and Phenotypic Features of Sjögren's Syndrome Among 1,726 Registry Participants

Troy E. Daniels,<sup>1</sup> Darren Cox,<sup>1</sup> Caroline H. Shiboski,<sup>1</sup> Morten Schiødt,<sup>2</sup> Ava Wu,<sup>1</sup> Hector Lanfranchi,<sup>3</sup> Hisanori Umehara,<sup>4</sup> Yan Zhao,<sup>5</sup> Stephen Challacombe,<sup>6</sup> Mi Y. Lam,<sup>1</sup> Yvonne De Souza,<sup>1</sup> Julie Schiødt,<sup>2</sup> Helena Holm,<sup>2</sup> Patricia A. M. Bisio,<sup>3</sup> Mariana S. Gandolfo,<sup>3</sup> Toshioki Sawaki,<sup>4</sup> Mengtao Li,<sup>5</sup> Wen Zhang,<sup>5</sup> Beni Varghese-Jacob,<sup>6</sup> Per Ibsen,<sup>7</sup> Alicia Keszler,<sup>3</sup> Nozomu Kurose,<sup>4</sup> Takayuki Nojima,<sup>4</sup> Edward Odell,<sup>6</sup> Lindsey A. Criswell,<sup>1</sup> Richard Jordan,<sup>1</sup> and John S. Greenspan,<sup>1</sup> for the Sjögren's International Collaborative Clinical Alliance Research Groups

*Objective.* To examine associations between labial salivary gland (LSG) histopathology and other phenotypic features of Sjögren's syndrome (SS).

Dr. Wu has received consulting fees, speaking fees, and/or honoraria from the Sjögren's Syndrome Foundation (less than \$10,000). Dr. Greenspan has received consulting fees, speaking fees, and/or honoraria from GlaxoSmithKline (less than \$10,000).

Address correspondence to Troy E. Daniels, DDS, MS, University of California, San Francisco, Orofacial Sciences, Oral Pathology, Box 0422, San Francisco, CA 94143. E-mail: troy.daniels@ ucsf.edu.

Submitted for publication July 1, 2010; accepted in revised form March 24, 2011.

Methods. The database of the Sjögren's International Collaborative Clinical Alliance (SICCA), a registry of patients with symptoms of possible SS as well as those with obvious disease, was used for the present study. LSG biopsy specimens from SICCA participants were subjected to protocol-directed histopathologic assessments. Among the 1,726 LSG specimens exhibiting any pattern of sialadenitis, we compared biopsy diagnoses against concurrent salivary, ocular, and serologic features.

*Results.* LSG specimens included 61% with focal lymphocytic sialadenitis (FLS; 69% of which had focus scores of ≥1 per 4 mm<sup>2</sup>) and 37% with nonspecific or sclerosing chronic sialadenitis (NS/SCS). Focus scores of ≥1 were strongly associated with serum anti-SSA/SSB positivity, rheumatoid factor, and the ocular component of SS, but not with symptoms of dry mouth or dry eyes. Those with positive anti-SSA/SSB were 9 times (95% confidence interval [95% CI] 7.4–11.9) more likely to have a focus score of ≥1 than were those without anti-SSA/SSB, and those with an unstimulated whole salivary flow rate of <0.1 ml/minute were 2 times (95% CI 1.7–2.8) more likely to have a focus score of ≥1 than effect of score of ≥1 than were those with a higher flow rate, after controlling for other phenotypic features of SS.

Conclusion. Distinguishing FLS from NS/SCS is essential in assessing LSG biopsies, before determining focus score. A diagnosis of FLS with a focus score of  $\geq 1$  per 4 mm<sup>2</sup>, as compared to FLS with a focus score of <1 or NS/SCS, is strongly associated with the ocular

Supported by the NIH (International Research Registry Network for Sjögren's Syndrome contract N0I-DE-32636 from the National Institute of Dental and Craniofacial Research, National Eye Institute, and Office of Research on Women's Health, 2003–2013) and by an unrestricted gift from GlaxoSmithKline during 2004–2008.

<sup>&</sup>lt;sup>1</sup>Troy E. Daniels, DDS, MS, Darren Cox, DDS, MBA, Caroline H. Shiboski, DDS, MPH, PhD, Ava Wu, DDS, Mi Y. Lam, MS, Yvonne De Souza, MSc, Lindsey A. Criswell, MD, MPH, DSc, Richard Jordan, DDS, PhD, FRCPath, John S. Greenspan, BDS, PhD: University of California, San Francisco; <sup>2</sup>Morten Schiødt, DDS, PhD, Julie Schiødt, DDS, Helena Holm, DDS: Glostrup University Hospital, Glostrup, Copenhagen, Denmark (current address for all 3 authors: Rigshospitalet, Copenhagen, Denmark); <sup>3</sup>Hector Lanfranchi, DDS, PhD, Patricia A. M. Bisio, DDS, Mariana S. Gandolfo, DDS, PhD, Alicia Keszler, DDS, PhD: University of Buenos Aires and German Hospital, Buenos Aires, Argentina; <sup>4</sup>Hisanori Umehara, MD, PhD, Toshioki Sawaki, MD, PhD, Nozomu Kurose, MD, Takayuki Nojima, MD, PhD: Kanazawa Medical University, Ishikawa, Japan; <sup>5</sup>Yan Zhao, MD, Mengtao Li, MD, Wen Zhang, MD: Peking Union Medical College Hospital, Beijing, China; 6Stephen Challacombe, DSc, PhD, FRCPath, Beni Varghese-Jacob, BDS, MSc, Edward Odell, BDS, PhD: King's College, London, UK; 7Per Ibsen, MD: Glostrup University Hospital, Glostrup, Copenhagen, and Herlev Hospital, Herlev, Copenhagen, Denmark (current address: Hvidovre Hospital, Copenhagen, Denmark).

## and serologic components of SS and reflects SS autoimmunity.

The Sjögren's International Collaborative Clinical Alliance (SICCA) is a US National Institutes of Health-supported international Sjögren's syndrome (SS) registry, funded from 2003 through 2013. Through 2009, it comprised research groups in Buenos Aires, Argentina; Beijing, China; Copenhagen, Denmark; Kanazawa, Japan; London, UK; and San Francisco, California, US, where the SICCA data coordinating center and specimen repository are located (at the University of California, San Francisco [UCSF]). The goals of SICCA include: 1) designing and implementing an international clinical data and biospecimen repository; 2) providing these resources for future studies of SS; and 3) developing standardized, universally acceptable classification criteria for SS. The SICCA registry prospectively enrolls individuals using broad eligibility criteria to establish a cohort ranging from participants with symptoms of possible SS to those with established disease. More information about the SICCA registry is available in previously published articles (1,2) and online at http://sicca.ucsf.edu. SICCA collaborators in addition to those who are authors of the present report are listed in Appendix A.

The observation and assessment of lymphocytic infiltration in minor salivary glands has long been associated with SS (3,4). The first prospective study to include semiquantitative histopathologic examination of labial salivary glands (LSGs) was of 40 patients diagnosed with SS, 4 different types of arthritis, or scleroderma and 60 postmortem specimens (5). Despite much study, the utility and application of focus scoring in the setting of focal lymphocytic sialadenitis (FLS) is still not universally accepted. The range of opinions include the view that it is a specific and accurate assessment of the salivary component of SS (6–11), that it is an alternative in the clinical assessment of SS (12–18), or that it is only a scientific assessment for research purposes (19).

In addition to FLS, other morphologic patterns of chronic inflammation occur commonly in LSG biopsy specimens: nonspecific chronic sialadenitis (NSCS) and sclerosing chronic sialadenitis (SCS) (8). LSG biopsies with FLS and focus scores of >1 focus per 4 mm<sup>2</sup>, rather than these other patterns, are associated with the diagnosis and severity of the ocular manifestations of SS (keratoconjunctivitis sicca [KCS]) (11). However, the specificity of FLS as compared to NSCS or SCS (NS/ SCS) in relation to other phenotypic features of SS has not yet been established. Furthermore, a narrow range of focus score values has been used as the significance threshold for diagnosing the salivary component of SS, including a focus score of >1 (5–8), a focus score of  $\geq 1$  (15–18), and a focus score of  $\geq 2$  (10) per 4 mm<sup>2</sup>, but use of a focus score of <1 has not been studied.

The specific aim of this study was to improve diagnostic applications of LSG biopsy by using data from the large, prospective SICCA cohort to 1) distinguish FLS from NS/SCS in LSG biopsies from patients with suspected SS by analyzing their associations with specific ocular, serologic, and salivary phenotypic features of SS; and 2) compare FLS focus score values of <1 to those of  $\geq$ 1 to assess the traditionally used threshold. These unique assessments are possible because in the SICCA registry, an LSG biopsy is performed on all participants as part of their comprehensive baseline study visit.

## PATIENTS AND METHODS

Study population. In the SICCA registry, examinations and specimen collections are performed according to a standardized protocol that is identical and consistently applied across all 6 research sites. Adherence to the standardized protocol is ensured by ongoing specimen examination and quality assurance site visits. Eligibility criteria for enrollment require that a participant be at least 21 years of age and have at least 1 of the following: patient-reported dry eyes or dry mouth; a previous suspicion or diagnosis of SS; elevated serum level of antinuclear antibody (ANA), rheumatoid factor (RF), SSA, or SSB; bilateral parotid enlargement in the clinical setting of SS; a recent increase in dental caries; or a diagnosis of rheumatoid arthritis or systemic lupus erythematosus and possible secondary SS (1). The present analysis is based on a cohort of participants who had been enrolled in the SICCA registry and for whom biopsy results and all other data were available for analysis as of September 20, 2010. Informed consent was obtained in compliance with the Helsinki Declaration, and the study was approved by the UCSF Committee on Human Research. Additional reviews and approvals were provided by local institutional review boards at each of the participating institutions.

Variables and measures. SICCA participants undergo baseline evaluation starting with questionnaires that record, among other information, demographic data, oral and ocular symptoms, and medical history. Three specialty examinations then follow: ocular (including lissamine green and fluorescein ocular surface staining to establish the presence or absence of KCS [the ocular component of SS, described in detail in ref. 2]), oral/salivary (including 5-minute unstimulated whole salivary flow rate and LSG biopsy), rheumatologic, and serologic. In aggregate, 9 types of biospecimens are collected from each participant, including formalin-fixed and frozen LSGs. Twoyear followup evaluations include the same clinical examinations and biospecimen collections; the results of these longitudinal analyses will be published in a separate manuscript. All SICCA questionnaires, data collection forms, and clinical and specimen protocols are available for review at http:// sicca.ucsf.edu.

 Table 1. Distribution of histopathologic diagnoses and focus scores

 in LSG biopsy specimens collected from 1,787 baseline participants in

 the SICCA registry\*

Histopathologic diagnosis	
FLS†	1,093 (61)
NSCS‡	372 (21)
SCS§	296 (17)
Within normal limits (no lymphocytes)¶	22 (1)
Granulomatous inflammation#	3 (<1)
Marginal-zone (MALT) lymphoma**	1 (<1)
Focus scores among the 1,058 participants with	
FLS††	
>1 foci per 4 mm <sup>2</sup>	693 (66)
1 foci per 4 mm <sup>2</sup>	37 (3)
<1 foci per 4 mm <sup>2</sup>	328 (31)
Presence of germinal centers‡‡	115 (11)

\* Values are the number (%). LSG = labial salivary gland; SICCA = Sjögren's Syndrome International Collaborative Clinical Alliance; SCS = sclerosing chronic sialadenitis; MALT = mucosa-associated lymphoid tissue.

† Presence of 1 or more dense aggregates of 50 or more lymphocytes (usually several hundred or more), usually located in perivascular or periductal locations. The foci are located adjacent to normalappearing mucous acini in gland lobes or lobules lacking duct dilation or interstitial fibrosis and contain no more than a minority proportion of plasma cells. This diagnosis is assigned when these foci are the only inflammation present in a specimen, or the most prominent feature. Focus scores are then assigned by assessing the glandular area in each and calculating the number of lymphocytic foci present, per 4 mm<sup>2</sup> of glandular area (20).

<sup>‡</sup> Scattered or focal infiltrates of lymphocytes, macrophages, and plasma cells that are not adjacent to normal-appearing acini and located in gland lobules that exhibit some combination of acinar atrophy, interstitial fibrosis, duct dilation, and luminal inspissated mucus.

§ Considered to be an advanced stage of nonspecific chronic sialadenitis (NSCS) in which interstitial fibrosis, various patterns of chronic inflammation, and acinar atrophy predominate.

¶ Diagnosed in minor salivary glands with normal-appearing architecture and scattered plasma cells, but without acinar atrophy and few if any lymphocytes.

# Clusters of CD-68 positive macrophages, with or without occasional multinucleated giant cells and without necrosis.

\*\* Diagnosed in minor salivary glands exhibiting diffuse lymphocytic infiltration with loss of glandular architecture and composed of sheets of CD20-positive cells without follicular distribution, few scattered CD3-positive cells, and few if any follicular dendritic (CD21- or CD23-positive) cells.

†† In the present study, 1,058 specimens were large enough (i.e.,  $\geq 4$  mm<sup>2</sup>) for focus score assessment. Focus score percentiles among the 1,058 participants with focal lymphocytic sialadenitis (FLS) ranged from 0.1 to 13.5; scores by percentile were as follows: 1st percentile 0.1, 25th percentile 0.8, 50th percentile 1.8, 75th percentile 3.7, 99th percentile 11.6.

<sup>‡‡</sup> Germinal center presence is estimated based on the appearance of a cluster of relatively clear staining cells within a lymphocytic focus in hematoxylin and eosin–stained sections. More specific identification of germinal centers requires immunohistochemical staining for follicular dendritic cells with anti-CD21 or anti-CD23.

LSG biopsy samples are obtained at the time of the SICCA baseline evaluation on all participants, or a previous LSG biopsy specimen is accepted if it was obtained no more than 3 years previously and the microscopic slides are available for examination. LSG biopsies are performed, after local anesthetic infiltration, to harvest 5–10 glands (8,20), some of

which are fixed in neutral buffered formalin while others are quickly frozen in liquid nitrogen. Three to five formalin-fixed LSGs are processed by the local pathology departments (paraffin embedding, sectioning, and hematoxylin and eosin [H&E] staining) and remaining glands are frozen and stored in liquid nitrogen. All biospecimens, including paraffinembedded and frozen salivary glands, are shipped quarterly to the SICCA coordinating center at UCSF.

H&E-stained sections of each specimen are evaluated initially by 1 of 3 pathologists (TED, DC, and RJ), who are blinded with regard to the participants' demographic, clinical, and serologic characteristics and who assign 1 of 6 possible diagnoses: FLS, NSCS, SCS, granulomatous inflammation, marginal-zone (mucosa-associated lymphoid tissue [MALT]) lymphoma, or within normal limits. All diagnoses are defined in the footnote to Table 1, and illustrative photomicrographs of FLS are provided in Figures 1 and 2. If FLS is diagnosed in any specimen, the focus score is then determined (20,21). Specimens must have a glandular area of at least 4 mm<sup>2</sup> (preferably 10-20 mm<sup>2</sup>, because focus scores can be overestimated in smaller specimens) and have lymphocytic foci of  $\geq$ 50 cells (Figure 2A), but most are larger (Figures 1, 2B, and 2C). FLS may include hyperplasia and lymphocytic infiltration of ductal epithelium or lymphoid germinal centers (Figure 2C). A focus score of 12 foci per 4 mm<sup>2</sup> is usually the highest that can be counted; above that number of foci, infiltrates become confluent.

Each specimen is then independently reevaluated by a second observer, and any differences are resolved by consensus between the first two, or with a third observer. This approach also provides an ongoing calibration of the examiners' findings. We also conducted a formal assessment of the interexaminer agreement rate on 56 biopsy specimens that had been read independently by the 2 main pathologists. We computed the kappa statistics to assess agreement rate on their diagnoses, and the interclass correlation coefficient (ICC) to assess agreement rate for diagnosis, number of foci, and focus score.

Specimens exhibiting other patterns of chronic inflammation, as defined in Table 1, are classified as NSCS or SCS depending on the presence of interstitial fibrosis, atrophic or



Figure 1. Hematoxylin and eosin–stained labial salivary glands exhibiting focal lymphocytic sialadenitis. Approximately 10 focal lymphocytic infiltrates can be seen in this image. Under the microscope, there was a total glandular area of 24 mm<sup>2</sup>, yielding a focus score of 2 foci per 4 mm<sup>2</sup>. Original magnification  $\times$  2.



**Figure 2.** Hematoxylin and eosin–stained labial salivary glands (LSGs) exhibiting focal lymphocytic sialadenitis (FLS). **A**, LSG with a small lymphocytic aggregate that is minimally sized (>50 cells) for inclusion in a focus score calculation. Original magnification  $\times$  100. **B**, LSG with 4 variously sized lymphocytic foci. Note the normal-appearing acini immediately adjacent to the lymphocyte aggregates, a characteristic feature of FLS. The entire specimen had a focus score of 3 foci per 4 mm<sup>2</sup>. Original magnification  $\times$  16. **C**, LSG with 2 prominent lymphocytic germinal centers and ductal hyperplasia within a large lymphocytic focus. Original magnification  $\times$  40.

absent acini, and scattered (Figure 3A) or focal chronic inflammation (Figures 3B and C). These aggregates are not counted for a focus score because of the absence of adjacent normal acini. Specimens containing epithelioid histiocytes and occasional Langhans-type giant cells forming noncaseating granulomas are further examined by immunohistochemistry to detect the pattern of CD68 antigen expression. In such cases, the absence of acid-fast bacilli in the specimen would lead to a recommendation that the participant be evaluated for sarcoidosis or other chronic granulomatous disease. Some specimens with no apparent lymphocytic infiltration or other inflammation are classified as being within normal limits.

Statistical analysis. We computed proportions to explore the distribution of histopathologic diagnoses from the LSG biopsies and of focus scores (among those with FLS), after categorizing the focus score as >1, 1, or <1 foci per 4 mm<sup>2</sup>, and we ascertained the presence of germinal centers within specimens with FLS and an assessable focus score. Among specimens found to exhibit any form of sialadenitis, we explored the associations between 3 categories of LSG diagnosis (FLS with focus score  $\geq 1$ , FLS with focus score <1, and NS/SCS) and other phenotypic characteristics of SS, using a contingency table approach (with chi-square testing). We used a nonparametric approach (Wilcoxon's rank sum test) to compare focus score as a continuous variable by presence/ absence of each phenotypic feature of SS, with focus scores presented as the median and range accordingly. We then fitted a logistic regression model to explore the explanatory role of various phenotypic features of SS in relation to the outcome "having FLS with focus score  $\geq 1$  as compared to focus score <1 or NS/SCS," among participants with sialadenitis. We present adjusted odds ratios with 95% confidence intervals (95% CIs) from this analysis.

#### RESULTS

We analyzed LSG biopsy specimens from 1,787 participants who were enrolled in the SICCA registry as of September 20, 2010. Approximately one-fourth of the participants (26%) were enrolled from the US, 20% from Denmark, 17% from Argentina, 15% each from Japan and China, and 7% from the UK (since 2007). The majority of the participants (93%) were women, and the median age was 54 years (range 21–90). Eighty-seven of the participants (5%) were classified as having secondary SS since they had confirmed diagnoses of rheumatoid arthritis, systemic lupus erythematosus, or, in a few cases, scleroderma or mixed connective tissue disease.

There were a mean  $\pm$  SD of 4.7  $\pm$  1.6 minor glands per LSG specimen, with a total mean glandular area of 14.4  $\pm$  7.8 mm<sup>2</sup> per specimen. Table 1 summarizes histopathologic diagnoses from all baseline specimens. A total of 1,093 specimens (61%) were diagnosed as showing FLS, 668 (37%) were diagnosed as showing 1 of 2 other forms of chronic sialadenitis, and 26 (1%) were given other diagnoses, including 22 determined to be within normal limits, 3 cases of granulomatous inflammation, and 1 case of marginal-zone (MALT) lymphoma. Histopathologic grading criteria are included in Table 1. Among the 1,093 specimens with FLS, 35 (3%)

score of >1, 3% had a focus score of 1, and 31% had a focus score of <1; 11% included germinal centers. The focus score ranged from 0.1 to 13.5, with a median of 1.8. Given the small proportion of FLS specimens with focus scores of 1 (3%), we combined them with specimens with focus scores of >1 in our analyses.

In a calibration exercise based on 56 slides reviewed independently by the 2 main pathologists, we found high agreement rates with respect to diagnosis ( $\kappa = 0.98$  [95% CI 0.91–1.00]), number of foci (ICC 0.97 [95% CI 0.96–0.99]), and focus score (ICC 0.96 [95% CI 0.94–0.99]).

Among the 1,093 specimens diagnosed as exhibiting FLS, 266 also included generally small areas of periductal sclerosis. Prior to combining this subset with the 827 specimens that did not exhibit such sclerosis, we ruled out any statistical differences between these 2 FLS subgroups with respect to serologic measures of autoimmunity (elevated serum ANA, RF, SSA, or SSB), controlling for focus score. However, age was found to be associated with periductal sclerosis: the median age among the group with FLS and sclerosis was 61 years, compared to 51 years in the FLS only group (P < 0.001), both among those with focus scores of  $\geq 1$  and among those with focus scores of < 1.

Among 1,787 LSG biopsy specimens, 1,726 had some form of sialadenitis (and an assessable focus score). Within this group of 1,726 participants, we found a high proportion with focus scores of  $\geq 1$  (as compared to focus scores of <1 or to NS/SCS) among those with positive serum SSA/SSB (76%) and/or RF (72%), ANA (titer >1:320) (72%), hypergammaglobulinemia (73%), ocular staining score  $\geq 3$  (50%), or unstimulated whole salivary flow rate <0.1 ml/minute (53%) (Table 2). Strong statistical associations were observed between the 6 phenotypic features of SS and the pattern of sialadenitis (focus score  $\geq 1$  versus focus score < 1 versus NS/SCS), all with P values of < 0.0001. There were no significant associations or only weak associations between any pattern and participants' symptoms of dry mouth or dry eyes.

Nonparametric analysis performed to explore focus score as a continuous variable in relation to each phenotypic feature of SS confirmed the associations displayed in Table 2, where focus score was categorized as  $\geq 1$ , <1, and no focus score. The median focus score among participants with positive anti-SSA/SSB serology was 2.8 (range 0.1–12.5), versus 0.9 (range 0.1–13.5) among those with negative anti-SSA/SSB (P < 0.0001). Participants with other abnormal serologic results, such as positive RF, ANA titer  $\geq 1:320$ , and hypergammaglobulinemia (IgG >1,445 mg/dl), also had a higher median focus score (3, 2.8, and 3, respectively) than

iting nonspecific chronic sialadenitis (NSCS) and sclerosing chronic sialadenitis (SCS). These patterns do not represent the salivary component of Sjögren's syndrome, and all of these specimens are from participants who were negative for anti-SSA/SSB and rheumatoid factor. **A**, NSCS and SCS with scattered lymphocytes and plasma cells and prominent interstitial fibrosis. Original magnification  $\times$  100. **B**, SCS with duct dilation, interstitial fibrosis, and a prominent lymphocytic infiltrate, but without adjacent normal-appearing acini. Original magnification  $\times$  50. **C**, SCS with severe interstitial fibrosis, a lymphocytic aggregate, many duct-like structures, and no normal-appearing acini. Original magnification  $\times$  50.

were too small to enable calculation of a focus score. Among the remaining 1,058 specimens, 66% had a focus

Appendix 6



	Sialadenitis pattern			
Phenotypic feature of Sjögren's syndrome	FLS with focus score $\geq 1 (n = 730)$	FLS with focus score $<1$ (n =328)	NS/SCS (no focus score) (n = $668$ )	P†
Serum anti-SSA/SSB				
Positive	487 (76)	63 (10)	91 (14)	
Negative	243 (22)	265 (24)	575 (53)	< 0.0001
Rheumatoid factor				
Positive	458 (72)	64 (10)	113 (18)	
Negative	270 (25)	264 (24)	555 (51)	< 0.0001
Ocular surface staining score				
≥3	630 (50)	206 (16)	415 (33)	
<3	99 (21)	121 (26)	253 (53)	< 0.0001
Antinuclear antibody				
≥1:320	477 (72)	68 (10)	115 (17)	
<1:320	253 (24)	260 (24)	552 (52)	< 0.0001
IgG				
>1,445 mg/dl	424 (73)	54 (9)	104 (18)	
$\leq$ 1,445 mg/dl	305 (27)	273 (24)	561 (49)	< 0.0001
Unstimulated whole salivary flow rate				
<0.1 ml/minute	502 (53)	148 (15)	306 (32)	
$\geq 0.1 \text{ ml/minute}$	228 (30)	179 (23)	362 (47)	< 0.0001
Dry mouth symptoms				
Present	669 (43)	292 (19)	595 (38)	
Absent	60 (36)	35 (21)	70 (42)	0.3
Dry eye symptoms				
Present	624 (43)	292 (20)	549 (37)	
Absent	105 (41)	35 (14)	117 (46)	0.01

 Table 2.
 Bivariate analysis exploring patterns of sialadenitis and focus scores by phenotypic features of Sjögren's syndrome in 1,726 SICCA registry participants with sialadenitis\*

\* Among 1,787 LSG biopsy specimens analyzed, 1,726 had some form of sialadenitis, i.e., FLS or NS/SCS. Values are the number (%). See Table 1 for definitions.

† By chi-square analysis.

those with negative test results (1, 1.1, and 1, respectively). Similarly, Wilcoxon's nonparametric rank sum test revealed statistically significant associations between focus score and each of these serologic features. The median focus score was also elevated in those with abnormal ocular surface staining (score  $\geq$ 3) and unstimulated whole salivary flow rates of <0.1 ml/minute (2.2 and 2.3, respectively, compared to 0.9 and 1.1 in those without abnormal ocular surface staining or unstimulated whole salivary flow rate) (P < 0.0001). Finally, the median focus score was 4.3 (range 0.8-13.5) among specimens with germinal centers and 1.5 (range 0.1-12.5) among those without germinal centers. Wilcoxon's nonparametric rank sum test, performed to compare focus scores in the 2 groups, revealed a statistically significant association between focus score and the presence of germinal centers (P < 0.0001).

Next, we stratified the contingency table analysis according to whether participants were or were not using one or more of the many prescription drugs that could reduce salivary secretion. Among participants who were not taking such drugs, 50% of those reporting symptoms of dry mouth had a focus score of  $\geq 1$ , versus 17% with a focus score of <1 and 33% with NS/SCS (P = 0.02). Among those who were taking such drugs, there was no association between the pattern of sialadenitis and symptoms of dry mouth (P = 0.7), suggesting that anticholinergic drug use was an effect modifier. Similarly, responses to more specific questions such as "Do you need to sip liquids to swallow dry foods?" or "Does your mouth feel dry when eating a meal?" (22) were not associated with the pattern of sialadenitis among those taking these drugs, but an association was found among those not taking such drugs.

We found that participants who were positive for anti-SSA/SSB were 9 times more likely to have a focus score of  $\geq 1$  (95% CI 7.4–11.9) than those with negative SSA/SSB serology, after controlling for abnormal ocular surface staining, abnormal unstimulated whole salivary flow, and dry mouth/dry eyes symptoms. Similarly, those with either abnormal ocular surface staining or abnormal unstimulated whole salivary flow were more than twice as likely to have focus scores of  $\geq 1$  compared to those without these characteristics (Table 3).

**Table 3.** Multivariate model exploring the explanatory role of various phenotypic features of Sjögren's syndrome in relation to the outcome FLS with a focus score of  $\geq 1$ , as compared to FLS with a focus score of <1 or NSCS or SCS, among 1,716 SICCA registry participants with sialadenitis\*

Phenotypic feature of Sjögren's syndrome	Adjusted OR (95% CI)	$P^{\dagger}$
Positive anti-SSA/SSB serology	9.4 (7.4–11.9)	< 0.0001
Ocular surface staining score $\geq 3$	2.2 (1.6–2.9)	< 0.0001
Unstimulated whole salivary flow rate <0.1 ml/minute	2.2 (1.7–2.8)	< 0.0001
Reported dry mouth symptoms	1.2 (0.8–1.8)	0.5
Reported dry eye symptoms	1.0 (0.7–1.4)	0.9

\* Ten participants among the 1,726 with sialadenitis had a missing observation on at least 1 of the independent variables. OR = odds ratio; 95% CI = 95% confidence interval (see Table 1 for other definitions).

† By chi-square analysis.

#### DISCUSSION

This is the first large-scale prospective cohort study to analyze and confirm the importance of distinguishing FLS from NS/SCS in LSG biopsies from patients with suspected SS and to demonstrate their associations with phenotypic features of the disease. We also compared focus score thresholds of <1 versus  $\geq 1$  foci per 4 mm<sup>2</sup> and found no basis to change the traditional threshold value of  $\geq 1$ . This analysis of  $\geq 1,700$  LSG biopsy specimens revealed that FLS with a focus score of  $\geq 1$  was strongly associated with the main phenotypic features of SS, including positive anti-SSA/SSB and RF serology, high ANA titers and IgG concentration, presence of KCS (ocular staining score  $\geq 3$ ), and unstimulated whole salivary flow rates <0.1 ml/minute. FLS with a focus score of  $\geq 1$  was not associated with symptoms of dry mouth or dry eyes.

LSG biopsy has played an important role in SS because of its disease specificity, wide availability, minimal invasiveness, and the opportunity to assess autoimmune disease–active cells within an SS target organ. This study has shown that the presence of FLS was highly associated with both the serologic and ocular components of SS and was significantly more specific to the salivary component of SS than is an unstimulated salivary flow rate of <0.1 ml/minute. LSG biopsy can yield histopathologic information about the extent and nature of the disease process. The greatest weakness of LSG biopsy is inconsistent histopathologic assessment, which can be overcome by following the protocol described herein and on the SICCA web site (20).

The focus score threshold of >1 was first suggested in 1968 (5) and has since been applied in several large patient series (7,8,11). In 1993, a focus score threshold of  $\geq$ 1 was proposed (15), and this recommendation continued through 2002 (18). Among the participants in our cohort who had FLS, only 3% had focus scores of exactly 1. It is therefore somewhat arbitrary as to whether these specimens should be combined with the specimens with focus scores of >1 or with those with focus scores of <1 for classification purposes. To maintain consistency with more recent studies conducted by others, we decided to combine specimens with focus scores of 1 with those with focus scores of >1 for analysis. Table 2 shows that among participants with FLS and focus scores of <1, the proportions who had phenotypic features of SS were significantly lower than among those with focus scores of  $\geq 1$ . Thus, this analysis confirms that FLS with a focus score of  $\geq 1$  represents a distinct entity from FLS with a focus score of <1 or NS/SCS and is strongly associated with the phenotypic features of SS.

In 1933, Henrik Sjögren first noted symptoms of hyposalivation in almost half of his 19 study patients and observed significant lymphocytic infiltration of the parotid, sublingual, and accessory salivary glands upon examination of 1 postmortem case (3). Thus began the uncertainty about the nature of the salivary component of SS. Should it be considered present based on a symptom of dryness, or a secretory threshold value, or results from salivary scintigraphy, sialographic imaging, or histopathologic assessment?

In an early study, SS was defined as the presence of 2 of 3 from "the triad of keratoconjunctivitis sicca [KCS] ('dry eyes'), xerostomia ('dry mouth'), and rheumatoid arthritis or other connective tissue disease" (23). Unfortunately, the term "xerostomia" was and continues to be applied, often indiscriminately, to either symptoms or signs of dry mouth, with no consensus on how to assess either. This confusion 35 years ago led to defining the salivary component of SS as FLS (with a focus score of >1) in an adequate LSG biopsy specimen, instead of "xerostomia" (7). Other methods to define the salivary component of SS have been introduced, including defined whole salivary or parotid flow rates, with or without stimulation, sialographic imaging of a major salivary gland, measuring technetium uptake and secretion by salivary scintigraphy, and ultrasound imaging of the glands. However, the specificity of these assessments to SS has not been clearly established. Meanwhile, a strong association was shown between the presence and severity of the ocular component of SS (KCS) and FLS in LSG biopsies (11), confirming the relevance of FLS as a disease-specific measure of the salivary component of SS.

Symptoms of dry mouth have been proposed as components of classification criteria for primary SS since 1993 (15,18). However, SICCA study participant responses to the questions "Does your mouth feel dry?" or "Do your eyes feel dry?" were not statistically associated or only weakly associated with the presence of focal lymphocytic sialadenitis (focus score >1), serum anti-SSA/SSB, or ocular staining  $\geq 3$  (indicating KCS) (1,24) (Table 2). Furthermore, the presence of an association between the pattern of sialadenitis and symptoms of dry mouth among those not taking anticholinergic drugs, and absence of association among those taking these medications, suggests the presence of a statistical interaction. Thus, these findings confirm that symptoms of dry eyes or dry mouth may be nonspecific and can be due to causes other than SS in a significant proportion of patients. We have also shown that unstimulated whole salivary flow rates were significantly associated with FLS and focus scores of  $\geq 1$ , but at a much lower adjusted odds ratio than positive anti-SSA/SSB serology (Table 3).

The Chisholm and Mason grading scale for assessing inflammation in LSG biopsies applied both qualitative and semiquantitative assessments of lymphocytic infiltration to LSGs that were still embedded in mucosal epithelium and connective tissue (5). It introduced the useful SS-associated threshold value of "more than one focus of 50 or more lymphocytes per 4  $\text{mm}^2$  of salivary tissue," but its grades 0-4 are now obsolete. It is a nonlinear scale, with grades 0 and 1 assessed qualitatively, grade 2 assessed qualitatively or semiquantitatively (focus scores <1 per 4 mm<sup>2</sup>), and grades 3 and 4 assessed semiquantitatively (grade 3, focus scores of 1 per 4 mm<sup>2</sup> and grade 4, focus scores of >1 per 4 mm<sup>2</sup>). It can provide a useful severity threshold assessment, but does not further consider severity levels above focus scores of 1 (6) and, most importantly, does not distinguish between different patterns of chronic LSG inflammation (i.e., FLS versus NS/SCS), as described herein and previously (8,11). Previous studies have not examined the associations of SS components with LSGs with focus scores of <1, which we report here to be very similar to the specimens with NS/SCS and significantly different from those with focus scores of  $\geq 1$ .

Based on reviews of previously diagnosed LSG biopsy specimens, some pathologists do not perform the semiquantitative part of LSG biopsy assessment to arrive at a focus score, or do so incorrectly (25). LSG biopsy samples must first be diagnosed qualitatively to assess the presence of FLS versus NS/SCS: if FLS is present then focus score assessment should follow, but if NS/SCS is present a focus score is unnecessary and

would be misleading if given. We observed that specimens with FLS exhibiting periductal sclerosis were from older participants (median 61 years) than those with FLS without sclerosis (median 51 years). However, this age difference existed whether the focus score was  $\geq 1$  or <1, suggesting that while age is associated with periductal sclerosis, it is not a confounding variable in the focus score analysis. The presence of sclerosis is consistent with an earlier observation of a proportional increase in salivary gland fibrous tissue with increasing age (26).

The presence of germinal centers within lymphocytic infiltrates of LSGs was observed in 17% of a series of specimens from patients with SS (27), indicating lymphoid neogenesis within these SS target organs. In the present study, the median focus score was higher in specimens with evidence of germinal center formation (4.3) compared with those without (1.5), and there was a strong association between higher focus scores and the presence of germinal centers. The small difference between the 17% prevalence of LSG germinal centers in the previous study and 11% in the present study is most likely a result of the earlier investigators' use of various immunohistochemical markers to identify germinal centers and our result based on their presence in H&Estained sections.

Assessment of LSG biopsy specimens in the setting of SS can be subject to several types of misinterpretation. These include failing to determine a focus score on specimens exhibiting FLS and attempting to apply a focus score to specimens having nonspecific patterns of inflammation (25). Based on the typical irregular distribution of lymphocytic foci in LSGs, another diagnostic pitfall is assessment when too little tissue is present (e.g., only 1 gland or fragments of several glands), which can result in an overestimation of the focus score. This can be avoided by using a standardized protocol for assessment of LSGs that dictates minimum size of the salivary gland tissue specimen prior to focus scoring.

In conclusion, LSG biopsies with focus scores of  $\geq$ 1, as compared to those with focus scores of <1 or with NS/SCS, are strongly associated with phenotypic ocular

2029

and serologic components of SS. An LSG biopsy focus score of  $\geq 1$  is not a gold standard for diagnosing SS, but remains the best method for diagnosing its salivary component and assessing an important site of auto-immune activity.

### AUTHOR CONTRIBUTIONS

All authors were involved in drafting the article or revising it critically for important intellectual content, and all authors approved the final version to be published. Dr. Daniels had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

Study conception and design. Daniels, Cox, Shiboski, M. Schiødt, Wu, Umehara, De Souza, Criswell, Jordan, Greenspan.

Acquisition of data. Daniels, Cox, M. Schiødt, Wu, Lanfranchi, Umehara, Zhao, Challacombe, Lam, De Souza, J. Schiødt, Holm, Bisio, Gandolfo, Sawaki, Zhang, Varghese-Jacob, Ibsen, Keszler, Kurose, Nojima, Odell, Criswell, Jordan, Greenspan.

Analysis and interpretation of data. Daniels, Cox, Shiboski, Umehara, Lam, Li, Varghese-Jacob, Ibsen, Criswell, Greenspan.

#### REFERENCES

- Daniels TE, Criswell LA, Shiboski C, Shiboski S, Lanfranchi H, Dong Y, et al, for the Sjögren's International Collaborative Clinical Alliance Research Groups. An early view of the International Sjögren's Syndrome Registry. Arthritis Rheum 2009;61:711–4.
- Whitcher JP, Shiboski CH, Shiboski SC, Heidenreich AM, Kitagawa K, Zhang S, et al. A simplified quantitative method for assessing keratoconjunctivitis sicca from the Sjögren's Syndrome International Registry. Am J Ophthalmol 2010;149:405–15.
- Sjögren H. Zur kenntnis der keratoconjunctivitis sicca (Keratitis filiformis bei Hypofunktion der Tränendrüsen). Acta Ophthalmol (Copenh) 1933;11 Suppl 2:1–151. English translation by Hamilton JB: Sjögren H. A new conception of keratoconjunctivitis sicca. Sydney: Australasian Medical Publishing; 1943.
- 4. Waterhouse JP. Focal adenitis in salivary and lacrimal glands. Proc R Soc Med 1963;56:911–8.
- Chisholm DM, Mason DK. Labial salivary gland biopsy in Sjögren's disease. J Clin Pathol 1968;21:656–60.
- Greenspan JS, Daniels TE, Talal N, Sylvester RA. The histopathology of Sjögren's syndrome in labial salivary gland biopsies. Oral Surg Oral Med Oral Pathol 1974;37:217–29.
- Daniels TE, Silverman S Jr, Michalski JP, Greenspan JS, Sylvester RA, Talal N. The oral component of Sjögren's syndrome. Oral Surg Oral Med Oral Pathol 1975;39:875–85.
- Daniels TE. Labial salivary gland biopsy in Sjögren's syndrome: assessment as a diagnostic criterion in 362 suspected cases. Arthritis Rheum 1984;27:147–56.
- Skopouli FN, Drosos AA, Papaioannou T, Moutsopoulos HM. Preliminary diagnostic criteria for Sjögren's syndrome. Scand J Rheumatol Suppl 1986;61:22–5.
- Fox RI, Robinson CA, Curd JG, Kozin F, Howell FV. Sjögren's syndrome: proposed criteria for classification. Arthritis Rheum 1986;29:577–85.
- Daniels TE, Whitcher JP. Association of patterns of labial salivary gland inflammation with keratoconjunctivitis sicca: analysis of 618 patients with suspected Sjögren's syndrome. Arthritis Rheum 1994;37:869–77.
- Ohfuji T. Review on research reports. Annual report of the Ministry of Health and Welfare: Sjögren's Disease Research Committee. Tokyo: Ministry of Health and Welfare: 1977. p. 3–8.
- 13. Manthorpe R, Frost-Larsen K, Isager H, Prause JU. Sjögren's

syndrome: a review with emphasis on immunological features. Allergy 1981;36:139-53.

- Homma M, Tojo T, Akizuki M, Yamagata, H. Criteria for Sjögren's syndrome in Japan. Scand J Rheumatol Suppl 1986;61: 26–7.
- Vitali C, Bombardieri S, Moutsopoulos HM, Balestrieri G, Bencivelli W, Bernstein RM, et al. Preliminary criteria for the classification of Sjögren's syndrome: results of a prospective concerted action supported by the European Community. Arthritis Rheum 1993;36:340–7.
- 16. Vitali C, Bombardieri S, Moutsopoulos HM, Coll J, Gerli R, Hatron PY, et al, and the European Study Group on Diagnostic Criteria for Sjögren's Syndrome. Assessment of the European classification criteria for Sjögren's syndrome in a series of clinically defined cases: results of a prospective multicentre study. Ann Rheum Dis 1996;55:116–21.
- Fujibayashi T. Revised diagnostic criteria for Sjögren's syndrome. Ryumachi (Rheumatology) 2000;24:421–8. In Japanese with English summary.
- 18. Vitali C, Bombardieri S, Jonsson R, Moutsopoulos HM, Alexander EL, Carsons SE, et al, and the European Study Group on Diagnostic Criteria for Sjögren's Syndrome. Classification criteria for Sjögren's syndrome: a revised version of the European criteria proposed by the American-European Consensus Group. Ann Rheum Dis 2002;61:554–8.
- Lindahl G, Hedfors E. Labial salivary gland lymphocytic infiltration in Sjögren's syndrome [letter]. Arthritis Rheum 1991;34: 1070–1.
- Sjögren's International Collaborative Clinical Alliance (SICCA). Questionnaires, forms & protocols: labial salivary glands histopathological assessment for general application. URL: http:// sicca.ucsf.edu.
- Daniels TE. Benign lymphoepithelial lesion and Sjögren's syndrome. In: Ellis GI, Auclair PL, Gnepp DR, editors. Surgical pathology of the salivary glands. Philadelphia: WB Saunders; 1991. p. 92–8.
- Fox PC, Busch KA, Baum BJ. Subjective reports of xerostomia and objective measures of salivary gland performance. J Am Dent Assoc 1987;115:581–4.
- Bloch KJ, Buchanan WW, Wohl MJ, Bunim JJ. Sjögren's syndrome: a clinical, pathological, and serological study of sixty-two cases. Medicine (Baltimore) 1965;44:187–231.
- 24. Daniels T, Greenspan JS, Cox DP, Criswell LA, DeSouza Y, Dong Y, et al. Objective measures in Sjögren's syndrome are strongly associated with each other but not with sicca symptoms: analysis of 564 enrollees in the SICCA international registry and repository [abstract]. Arthritis Rheum 2007;56 Suppl:S446.
- Vivino FB, Gala I, Hermann GA. Change in final diagnosis on second evaluation of labial minor salivary gland biopsies. J Rheumatol 2002;29:938–44.
- Scott J. Qualitative and quantitative observations on the histology of human labial salivary glands obtained post mortem. Jour Biol Buccale 1980;8:187–200.
- 27. Salomonsson S, Jonsson MV, Skarstein K, Brokstad KA, Hjelmstrom P, Wahren-Herlenius M, et al. Cellular basis of ectopic germinal center formation and autoantibody production in the target organ of patients with Sjögren's syndrome. Arthritis Rheum 2003;48:3187–201.

#### APPENDIX A: ADDITIONAL COLLABORATORS IN THE SJÖGREN'S INTERNATIONAL COLLABORATIVE CLINICAL ALLIANCE

Collaborators in the Sjögren's International Collaborative Clinical Alliance, in addition to the authors, are as follows: at the University of California, San Francisco, K. Sack, D. Lee, J. Whitcher, N. McNamara, E. Strauss, D. Greenspan, D. Drury, A. Do, L. Scott, J. Nespeco, J. Whiteford, and M. Margaret; at the University of Buenos Aires and German Hospital, Buenos Aires, Argentina, A. M. Heidenreich, C. Vollenweider, I. Adler, A. C. Smith, S. Daverio, and V. Kambo; at Peking Union Medical College Hospital, Beijing, China, W. Zheng, Y. Jiang, D. Xu, J. Su, S. Zhang, J. Zhao, D. Du, H. Wang, Z. Li, J. Xiao, Q. Wu, C. Zhang, W. Meng, J. Zhang, and Y. Dong; at Copenhagen University Hospital, Glostrup, Denmark, S. Johansen, S. Hamann, P. Helin, J. Lindegaard, A. M. Manniche, and S. P. Kreutzmann; at Kanazawa Medical University, Ishikawa, Japan, Y. Masaki,

T. Sakai, K. Kitagawa, N. Shibata, M. Honjo, T. Kawanami, K. Fujimoto, and S. Sugai; at King's College, London, UK, P. Shirlaw, B. Kirkham, P. Morgan, L. Fernandes-Naglik; at Aravind Eye Hospital, Madurai, India, M. Srinivasan, S. Avinash, M. Das, A. Kumar, R. Banushree, B. Babu, R. Shanthi, A. Ram, R. Saravanan, K. N. Kannappan, J. Mascarenhas, and N. Kalyani; at the University of Pennsylvania, Philadelphia, F. Vivino, S. Seghal, V. Bunya, M. Massaro-Giordano, S. K. Abboud, A. Pinto, and L. Fisher; and at Johns Hopkins University, Baltimore, Maryland, A. Baer, E. Akpek, W. Henderson, C. Gourin, and A. Keyes.

#### DOI 10.1002/art.30348

Clinical Images: Infliximab therapy of polyarticular small joint sarcoid arthritis



The patient, a 43-year-old woman, initially presented with bilateral spontaneous fractures of the olecranon processes. Plain radiography revealed an osteolytic lesion as the cause of a fracture of the left olecranon process (top left). Erythema nodosum, cervical lymphadenopathy, and tenderness were noted in the small joints of the hands and feet. Tuberculosis was ruled out, and bone and lymph node biopsy confirmed a diagnosis of skeletal and lymphoreticular sarcoidosis. Chronic sarcoid arthritis developed, skeletal sarcoidosis progressed, and an osteodestructive lesion developed in the third proximal phalanx of the left hand (top right and bottom left), despite treatment with high-dose corticosteroids and methotrexate over 3 years. She was then prescribed infliximab (3 mg/kg of body weight; interval of 2 weeks between the first and second infusions, 4 weeks between the second and third infusions, and every 8 weeks thereafter), which resulted in dramatic improvement in the signs and symptoms after the third infusion (bottom right). Early morning stiffness decreased from 30 minutes to 5 minutes, and the Health Assessment Questionnaire score decreased from 0.5 to 0. The swollen and tender joint count decreased from 3 to 0. Case–control trials have proven the efficacy of infliximab in the treatment of pulmonary disease, which relapsed in >80% of patients after the treatment was stopped. Tumor necrosis factor  $\alpha$  maintains the integrity of established granulomas, along with other cytokines such as interleukin-2 and interferon- $\gamma$ . This is the first report using imaging and validated patient- and clinician-reported outcomes for inflammatory arthritis to quantify the clinical response of polyarticular sarcoid arthritis to treatment with intravenous infliximab.

Adrian Huang, BSc Leonard Conor Harty, MD Cliona Mary Ryan, MD Douglas James Veale, MD St. Vincent's University Hospital Dublin, Ireland